

**WorldCompliance**



[>>Open Source Intelligence,  
World Compliance is the most  
respected open source intelligence  
solution for private industry and  
intelligence communities. //}

### **[ World Protection ]**

WorldCompliance® headquarters is  
strategically placed in Miami, Florida, home  
to the largest concentration of international  
banks in the USA. In order to provide  
world-class global protection, World  
Compliance offers compliance for over 100  
local anti terrorism and money laundering  
legislations laws, including 3<sup>rd</sup> EU Directive  
and USA PATRIOT Act.

## >>Core Benefits:

### Data Quality

#### >> Unique Identifiers:

More than 600,000 entities in the database have a unique identifier, substantially more than any other vendor. The company was also the first to include portrait photographs, national ID numbers and primary names, constantly setting higher standards that continue to be unmatched.

#### >> Standardized Data Format:

All date of birth entries are in a standardized format, allowing for easy integration and leading to better screening results.

#### >> Quality Control:

World Compliance employs regional managers for quality control, ensuring that high standards are maintained throughout all of its intelligence teams around the world. This quality control process enables clients to differentiate whether a match is genuine or a false positive in record time, helping them to save time and money.

#### >> High Quality Matches:

WorldCompliance offers many unique features to limit the amount of false positives and achieves up to 90% less false positives than comparable solutions.

### Best Value

WorldCompliance offers the best price per record for the most comprehensive protection in the market. That coupled with our emphasis on customer service is the reason why we have a customer retention rate of over 98%.



### Risk Protection

With over 1,200,000 entities, WorldCompliance offers the greatest level of protection of any provider in the industry. We monitor more than 500 enforcement lists, giving you nearly four times the protection offered by other providers. Daily analysis of over 20,000 newspapers and magazines in over 15 languages provides unparalleled coverage and protection. Court filings offer information on cross border investigations and pro-active investigations in organized crime and arms dealings are services unique to the company.

# >>Core Benefits:

## Our modular open source intelligence database comprises the following categories:

### >> Global Sanction List (GSL)

We aggregate information from sanction lists around the world and group them into the Global Sanction List offering, with over 15,000 profiles. Covered lists include Bank of England, Bureau of Industry and Security, US Department of State, EU Terrorism List, FBI Top Ten Most Wanted, Interpol Most Wanted, OCC Shell Bank List, OFAC Sanctions and SDN & Blocked Entities, Treasury PML List, SECO List, UN Consolidated List, World Bank Debarred Parties List, CBI List, and ICE List.

### >> Global PEP List (GPL)

The GPL comprises over 850,000 profiles of Politically Exposed Persons from over 230 countries, including family members and close associates. Special analyst groups constantly research and add unique identifiers allowing for minimal false positive rates. MicroCategorization (Segmentation of data) allows for the tailoring of the GPL to the specific risk needs of any customer. Risk Scoring enables clients to reduce manual due diligence by up to 90%. The GPL is certified for compliance by the German TUEV, a third party certification company.

### >> Global Enforcement List (GEL)

The GEL is comprised of information from regulatory and governmental authorities, including warnings and actions against individuals and companies, narcotic traffickers, money launderers, fraudsters, human traffickers, fugitives and other criminals. More than 500 enforcement lists are monitored to offer over 200,000 profiles.

### >> Global Adverse Media List (GAL)

The Global Adverse Media List is an extensive proprietary database, comprised of public domain news, money launderers, fraudsters, arms dealers, narcotic traffickers and other criminals. We monitor in excess of 20,000 newspapers and magazines in more than 10 languages for risk relevant information, providing over 100,000 profiles for protection from risk entities in the public domain.

### >> Global PCI List (GIL)

The PCI List (Persons of Considerable Influence) contains the profiles of individuals and companies, which between 1995 and 2009 were suspected or known to be involved in illegal activities or served as middlemen or shell companies for such activities, relating to corruption, war crimes, arms trafficking and illegal diamond trading. For example, profiled networks include details of undercover operations of Victor Bout, Charles Taylor, Vito Palazzolo, Gus Kouvenhoven and Youssef Nada.

## Low False Positives

Clients worldwide benefit from our unique database structure, certified by the German TUEV, by experiencing lower false positive rates during the customer screening process. With industry averages ranging from 2% to 4% of clients names screened, WorldCompliance focuses unique features leading to significantly lower false positive rates and significant cost savings.

Product delivery options include the capacity to tailor the database to a client's unique risk appetite. This process, called MicroCategorization, allows for data selection on such granular level that clients have the option to exclude PEPs that may be irrelevant and knowingly pose no risk.

WorldCompliance also applies risk scoring, which identifies those PEPs known to be under investigation or actively affiliated with activities that may pose risk to clients. This allows to allocate the focus of manual resources on those clients who may pose risk versus investing time and resources into matches that won't pose risk.

### >> MicroCategorization enables the tailoring of the Data

License List based on the following criteria:

- Country (any country or geographic region, e.g. Europe)
- Category (GPL, GEL, GSL, GAL)

within Global PEP List	
Subcategory	(government branch members, Senior Party Members, Mgmt of Government owned Corporations, Diplomats, Family Members, Close Associates)
Level	(International, National, State, Local)
Risk Rating:	(Low, High)
Term:	(active, non-active)



# [>>Data Comparison//}

	World Compliance	Factiva PFA	World-Check
<b>General Company Details</b>			
Customers	> 2000	> 500	> 2500
Response Commitment and Service	72 hours	72 hours	?
<b>Content</b>			
Number of Entries	1,200,000	Est. 600,000	Est. 800,000
Global Sanction List	15,000	Est. 15,000	Est. 15,000
Global PEP List	855,000	Est. 585,000	Est. 515,000
Global Enforcement List	220,000	None	Est. 120,000
Global Adverse Media List	107,000	None	Est. 150,000
Global PCI List	3,000	None	None
Compliance Certification	YES	None	None
<b>Data License Features</b>			
# of fields	>30	>20	>20
Delivery Formats	XML, mdb, csv, txt	XML, csv, txt	XML, csv
Update formats	Xml, mdb, csv, txt	XML, csv, txt	XML, csv
Update frequency	Updated in real time every hour	Daily	2 times daily
True XML web service	YES	YES	NO
Pass Through Technology	YES	NO	NO
PEP MicroCategorization	YES	YES	NO
PEP Risk Scoring	YES	NO	NO
PEP Term Field	YES	YES	NO
Primary Names	YES	YES	NO
Portrait Photographs	YES	YES	NO
<b>Online License Features</b>			
Passport Verification	YES	NO	YES
Multi Name Search	YES	NO	NO
Dynamic Spiderweb Display	YES	NO	NO
Multi Lingual Interface	YES	YES	NO
Navigation Tree	YES	NO	NO
False Positive Reduction Search	YES	NO	NO
Two Click Policy	YES	NO	NO
Mouse Over Effects	YES	NO	NO



worldcompliance

## A World in Compliance

WorldCompliance® offers a complete solution to the increasingly unforgiving task of compliance. As more and more leaders realize 'open source intelligence equals protecting profits', they turn towards WorldCompliance® as their preventative solution.

For complete protection for your organization wherever you are based in the world, email:

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